

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.13)

09/380187

International Application No.  
PCT/JP98/06009

International Filing Date  
December 28, 1998

Priority Date Claimed  
December 26, 1997

Title of Invention

CODED SIGNAL REPRODUCTION APPARATUS

Applicant(s) For DO/EO/US

Ryoji YAMAGUCHI; Eiji MIYAGOSHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau per PCT/308. **ATTACHMENT A**
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 USC 371(c)(2)). **ATTACHMENT B**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
9. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 10. to 13. below concern other document(s) or information included:

10. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT C**
11. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
12. ☒ A **FIRST** preliminary amendment. **ATTACHMENT D**  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
13. ☐ Other items or information:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975..

U.S. APPLICATION NO. <b>09/380187</b> <small>(if known, see 37 CFR 1.5)</small>		INTERNATIONAL APPLICATION NO. PCT/JP98/06009		ATTORNEY DOCKET NO. 01489/P-17300-02					
17. <input checked="" type="checkbox"/> The following fees are submitted  <div style="text-align: center;"><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b></div> <div style="margin-top: 10px;"> <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO ..... \$840.00   <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor              international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00           </div> <div style="text-align: right; margin-top: 10px;"> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> </div>				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td style="height: 100px;"></td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY								
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
Claims	Number Filed	Number Extra	Rate						
Total Claims	10 - 20 =	0	X \$18.00						
Independent Claims	2 - 3 =	0	X \$78.00						
Multiple dependent claim(s) (if applicable)			+ \$260.00						
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$840.00					
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				-					
<b>SUBTOTAL =</b>				\$840.00					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+					
<b>TOTAL NATIONAL FEE =</b>				\$840.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (\$40 per property).				+					
<b>TOTAL FEES ENCLOSED =</b>				\$840.00					
				Amount to be refunded:	\$				
				charged:					

a. ☒ A check in the amount of \$840.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**  
**WENDEROTH, LIND & PONACK**  
 2033 K St., N.W., Suite 800  
 Washington, D.C. 20006  
 Telephone: (202) 721-8200  
 Facsimile: (202) 721-8250

By   
 Jeffrey Volton  
 Registration No. 25,408

August 26, 1999  
 JN/pjm

Check No. 34654

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Ryoji YAMAGUCHI et al. : Attn: BOX PCT  
Serial No. [Not yet assigned] : Docket No. 01489/P-17300-02  
Filed August 26, 1999 :  
CODED SIGNAL REPRODUCTION :  
APPARATUS :  
[Corresponding to PCT/PCT/JP98/06009  
Filed December 28, 1998]

**LETTER RE PROPOSED DRAWING AMENDMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

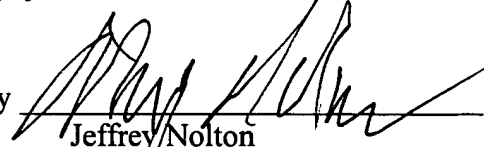
Enclosed herewith is a photocopy of Fig. 3 marked in red to indicate proposed drawing amendment thereto.

The Examiner is requested to approve such proposed drawing amendment, and after allowance of this application, formal drawings incorporating such amendment will be filed.

Respectfully submitted,

Ryoji YAMAGUCHI et al.

By



Jeffrey Nolton

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